

## CS 276: Homework 4

Due Date: Friday September 27th, 2024 at 8:59pm via Gradescope

### 1 Carter-Wegman Message Authentication Code

The Carter-Wegman MAC is built from a PRF and a hash function as follows. Let  $p$  be a large prime. Let  $n$  be the security parameter. Let  $F : \mathcal{K}_F \times \{0, 1\}^n \rightarrow \mathbb{Z}_p$  be a secure PRF, and let  $H : \mathcal{K}_H \times \mathcal{M} \rightarrow \mathbb{Z}_p$  be a hash function. Next:

1. **MAC** takes a key  $(k_H, k_F) \in \mathcal{K}_H \times \mathcal{K}_F$  and a message  $m \in \mathcal{M}$ . Then MAC samples  $r \xleftarrow{\$} \{0, 1\}^n$  and computes:

$$v = H(k_H, m) + F(k_F, r)$$

Finally MAC outputs  $(r, v)$ .

2. **Verify** takes a key  $(k_H, k_F) \in \mathcal{K}_H \times \mathcal{K}_F$ , a message  $m \in \mathcal{M}$ , and a tag  $(r, v) \in \{0, 1\}^n \times \mathbb{Z}_p$ . Then Verify checks that  $v = H(k_H, m) + F(k_F, r)$ . If so, Verify outputs 1 (accept). If not, Verify outputs 0 (reject).

Now we will consider two possible choices for  $H$ :

1.  $H_1$  takes a key  $k_H \xleftarrow{\$} \mathbb{Z}_p$  and an input  $m = (m_1, \dots, m_\ell) \in \mathbb{Z}_p^\ell$ , where  $\ell$  is polynomial in  $n$ . Then

$$H_1(k_H, m) = k_H^\ell + \sum_{i=1}^{\ell} k_H^{\ell-i} \cdot m_i$$

2.  $H_2(k_H, m) = k_H \cdot H_1(k_H, m)$

**Question:** Prove that the Carter-Wegman MAC is insecure if it is constructed with  $H = H_1$ , but it is secure if it is constructed with  $H = H_2$ .

The following definition of MAC security will be useful.

**Definition 1.1 (MAC Security [KL14])** A MAC is secure if for any non-uniform PPT adversary  $\mathcal{A}$ ,

$$\Pr[\text{MAC-Forge}_{\mathcal{A}}(n) \rightarrow 1] \leq \text{negl}(n)$$

MAC-Forge $_{\mathcal{A}}(n)$ :

1. **Setup:** The challenger samples  $k$  uniformly from the key space.  $\mathcal{A}$  is given  $1^n$ .
2. **Query:** The adversary submits a message  $m^{(i)}$ ; then the challenger computes a tag  $t^{(i)} \leftarrow \text{MAC}(k, m^{(i)})$  and sends it to the adversary. The adversary may submit any polynomial number of message queries.  
Let  $\mathcal{Q} = \{(m^{(1)}, t^{(1)}), \dots, (m^{(q)}, t^{(q)})\}$  be the set of messages  $m^{(i)}$  submitted in the query phase along with the tags  $t^{(i)}$  computed by MAC.
3. **Forgery:** The adversary outputs a message-tag pair  $(m^*, t^*)$ . The output of the game is 1 if  $(m^*, t^*) \notin \mathcal{Q}$  and  $\text{Verify}(k, m^*, t^*) = 1$ . The output is 0 otherwise.

**Solution**

**Theorem 1.2** *The Carter-Wegman MAC construction is insecure if  $H = H_1$ .*

**Proof.** Here is an adversary  $\mathcal{A}$  that breaks the security of the scheme:

1. The adversary submits a query  $m^{(1)} = (0, \dots, 0, 1) \in \mathbb{Z}_p^\ell$  and receives the tag  $t^{(1)} = (r, v)$ , where  $r \xleftarrow{\$} \{0, 1\}^n$  and  $v = k_H^\ell + 1 + F(k_R, r)$ .
2. The adversary outputs  $m^* = (0, \dots, 0, 2)$  and  $t^* = (r, v + 1)$ .

Note that  $(m^*, t^*) \notin \mathcal{Q}$  because  $m^* \neq m$ . Furthermore,  $(m^*, t^*)$  will pass verification.  $\text{Verify}(k, m^*, t^*)$  outputs 1 if

$$H_1(k_H, m^*) + F(k_F, r) = v + 1$$

This does occur because

$$\begin{aligned} H_1(k_H, m^*) + F(k_F, r) &= k_H^\ell + 2 + F(k_R, r) \\ &= v + 1 \end{aligned}$$

This adversary wins the MAC security game with probability 1, so the MAC construction is insecure.

**Theorem 1.3** *The Carter-Wegman MAC construction is secure if  $H = H_2$ .*

**Proof.** Consider the following hybrids:

- $\mathcal{H}_0$  is the  $\text{MAC-Forge}_{\mathcal{A}}(n)$  security game:
  1. The challenger samples  $k_H \xleftarrow{\$} \mathbb{Z}_p$  and  $k_F \xleftarrow{\$} \mathcal{K}_F$ .  $\mathcal{A}$  is given  $1^n$ .
  2.  $\mathcal{A}$  gets query access to  $\text{MAC}((k_H, k_F), \cdot)$ . Upon receiving query  $m$ , the challenger samples  $r \xleftarrow{\$} \{0, 1\}^n$ , computes
 
$$v = H(k_H, m) + F(k_F, r)$$
 and returns  $t = (r, v)$ . Then the challenger appends  $(m, (r, v))$  to  $\mathcal{Q}$ .
  3.  $\mathcal{A}$  outputs  $(m^*, (r^*, v^*))$ . If  $(m^*, (r^*, v^*)) \notin \mathcal{Q}$ , and  $v^* = H(k_H, m^*) + F(k_F, r^*)$ , then the output of the hybrid is 1. Otherwise the output is 0.
- $\mathcal{H}_1$  is the same as  $\mathcal{H}_0$ , except  $F(k_F, r)$  is replaced with a truly random function  $R$  that maps  $\{0, 1\}^n \rightarrow \mathbb{Z}_p$ .
  1. The challenger samples  $k_H \xleftarrow{\$} \mathbb{Z}_p$  and the truly random function  $R : \{0, 1\}^n \rightarrow \mathbb{Z}_p$ .  $\mathcal{A}$  is given  $1^n$ .
  2.  $\mathcal{A}$  may submit queries to  $\text{MAC}$ . Upon receiving query  $m$ , the challenger samples  $r \xleftarrow{\$} \{0, 1\}^n$ , computes
 
$$v = H(k_H, m) + R(r)$$
 and returns  $t = (r, v)$ . Then the challenger appends  $(m, (r, v))$  to  $\mathcal{Q}$ .

3.  $\mathcal{A}$  outputs  $(m^*, (r^*, v^*))$ . If  $(m^*, (r^*, v^*)) \notin \mathcal{Q}$ , and  $v^* = H(k_H, m^*) + R(r^*)$ , then the output of the hybrid is 1. Otherwise the output is 0.

**Claim 1.4**  $|\Pr[\mathcal{H}_0 \rightarrow 1] - \Pr[\mathcal{H}_1 \rightarrow 1]| = \text{negl}(n)$

**Proof.** This follows from the PRG security of  $F$ .

**Claim 1.5**  $\Pr[\mathcal{H}_1 \rightarrow 1] = \text{negl}(n)$

**Proof.**

1. In  $\mathcal{H}_1$ , with overwhelming probability, the challenger never samples the same  $r$ -value twice. If every query  $i$  uses a unique  $r^{(i)}$ , then  $R(r^{(i)})$  will be a fresh random value. Additionally  $(v^{(1)}, \dots, v^{(q)})$  will be independent of each other,  $k_H$ , and the messages  $(m^{(1)}, \dots, m^{(q)})$ . In particular,  $k_H$  will be uniformly random in the adversary's view and independent of the adversary's final output  $(m^*, (r^*, v^*))$ .
2. If  $r^*$  does not match any  $r^{(i)}$ -value that was previously sampled by the challenger, then  $R(r^*)$  will be uniformly random and independent of the adversary's view. So

$$\begin{aligned} \Pr_R[v^* = H(k_H, m^*) + R(r^*)] &= \Pr_R[R(r^*) = v^* - H(k_H, m^*)] \\ &= \frac{1}{p} = \text{negl}(n) \end{aligned}$$

3. Let us consider the case where  $r^* = r^{(i)}$  for some query  $i \in [q]$ , but  $m^* \neq m^{(i)}$ . Next  $v^* = H(k_H, m^*) + R(r^*)$  only if:

$$\begin{aligned} v^* &= H(k_H, m^*) + R(r^{(i)}) \\ 0 &= H(k_H, m^*) - H(k_H, m^{(i)}) + H(k_H, m^{(i)}) + R(r^{(i)}) - v^* \\ &= \sum_{j=1}^{\ell} k_H^{\ell+1-j} \cdot (m_j^* - m_j^{(i)}) + v^{(i)} - v^* \\ &= \sum_{j'=1}^{\ell} k_H^{j'} \cdot (m_{\ell+1-j'}^* - m_{\ell+1-j'}^{(i)}) + v^{(i)} - v^* \end{aligned}$$

Let

$$f(X) = \sum_{j'=1}^{\ell} X^{j'} \cdot (m_{\ell+1-j'}^* - m_{\ell+1-j'}^{(i)}) + v^{(i)} - v^*$$

The degree of  $f(X)$  is  $\geq 1$  because for some index  $j'$ ,  $m_{\ell+1-j'}^* \neq m_{\ell+1-j'}^{(i)}$ . Then  $v^* = H(k_H, m^*) + R(r^*)$  only if:

$$0 = f(k_H)$$

However,  $k_H$  is uniformly random given the description of  $f$ , so  $\Pr_{k_H}[f(k_H) = 0] \leq \frac{\ell}{p} = \text{negl}(n)$ . This shows that the  $\Pr[\mathcal{H}_1 \rightarrow 1] = \text{negl}(n)$ .

**Corollary 1.6**  $\Pr[\text{MAC-Forge}_{\mathcal{A}}(n) \rightarrow 1] = \text{negl}(n)$

Therefore, the MAC scheme is secure. ■

## References

- [KL14] Jonathan Katz and Yehuda Lindell. *Introduction to Modern Cryptography, Second Edition*. Chapman & Hall/CRC, 2nd edition, 2014.